| Electronic Acknowledgement Receipt | | | | |
|--------------------------------------|---|--|--|--|
| EFS ID: | 7635439 | | | |
| Application Number: | 09745651 | | | |
| International Application Number: | | | | |
| Confirmation Number: | 1536 | | | |
| Title of Invention: | SYSTEMS AND METHODS FOR PROVIDING A TRADING INTERFACE | | | |
| First Named Inventor/Applicant Name: | Glenn D. Kirwin | | | |
| Customer Number: | 63710 | | | |
| Filer: | Glen Farbanish/Laura Alto | | | |
| Filer Authorized By: | Glen Farbanish | | | |
| Attorney Docket Number: | 99-1013 | | | |
| Receipt Date: | 18-MAY-2010 | | | |
| Filing Date: | 22-DEC-2000 | | | |
| Time Stamp: | 15:35:36 | | | |
| Application Type: | Utility under 35 USC 111(a) | | | |

Payment information:

| Submitted with Payment | yes |
|--|-----------------|
| Payment Type | Deposit Account |
| Payment was successfully received in RAM | \$180 |
| RAM confirmation Number | 12726 |
| Deposit Account | 503938 |
| Authorized User | |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 Ca. No. Section 1.10 (National application ming, search, and examination rees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|--|---------------------------------|--|--|---------------------|---------------------|
| 1 Transmittal Letter | T | 00 1012 100510 IDC - 45 | 29558 | | |
| | 99-1013_100518_IDS.pdf | fa3da78332a1a4dafe60e772ec21442f2a62 33e3 | no | 3 | |
| Warnings: | | | 1 | <u>'</u> | |
| Information: | | | | | |
| Information Disclosure Statement (IDS) Filed (SB/08) | 99-1013_100518_1449.pdf | 24964 | no | 1 | |
| | | d7acbf6f99f373c40bdabe41e61c8cab515d 2e10 | 110 | | |
| Warnings: | · | | | | |
| Information: | | | | | |
| This is not an US | SPTO supplied IDS fillable form | | | | |
| 3 NPL Documents | NPL Documents | 11-756875_EXIN_03-16-2010. pdf | 159716 | no | 3 |
| | THE DOCUMENTS | | 76c806c9dca8b8e9d3b0b5f7cf06a7c0a000 b878 | | |
| Warnings: | | | | | |
| Information: | | | | | |
| 4 | NPL Documents | 11-756964_EXIN_03-16-2010. pdf | 159919 | no | 3 |
| 7 | 4 NPL Documents | | 2ffd4fa1ce7795b7aca82dabe61aed922412 753d | | |
| Warnings: | | | , | | |
| Information: | | | | | |
| 5 | NPI Documents | 11-756964_OA_EXIN_04-15-20 10.pdf | 696557 | no | 23 |
| 3 | 5 NPL Documents | | 0c5873e80243f6532f0cf15615fdd5535bba d180 | | |
| Warnings: | | | , | | |
| Information: | | | | | |
| 6 | NPI Documents | 09-982709_BPAI_Order_06-09- | 64494 | na | 3 |
| 6 NPL Documents | 2009.pdf | 49b9f6bcb9eac3f5e308203a132b610d75b 20a5b | no | | |
| Warnings: | | | 1 | • | |
| Information: | | | | | |
| 7 | NPL Documents | 09-982709_Adv_Act_07-20-200 | 77951 | no | 3 |
| , IN E DOCUMENTS | 9.pdf | a8a11dc614a8b03285c4d35d600617d2ba df55d6 | 110 | | |
| Warnings: | | | | | |
| Information: | | | | | |

| 8 N | NPL Documents | 09-982709_BPAI_Order_04-21- | 72176 | no | 3 | | | | |
|----------------------------|--------------------------------------|--|--|----|---|--|--|--|--|
| THE DOCUMENTS | 2010.pdf | 7f98e60aa63023ad3ece7a0d41639adca80 1a70e | | | | | | | |
| Warnings: | Warnings: | | | | | | | | |
| Information: | | | | | | | | | |
| 9 NPL Documents | CA_2395379_Exam_Report_04 | 437691 | no | 8 | | | | | |
| | y NE Documents | -29-2010.pdf | 12ae301db291964419fb67e4dc16af6476f5 d751 | | | | | | |
| Warnings: | Warnings: | | | | | | | | |
| Information: | | | | | | | | | |
| 10 Fee Worksheet (PTO-875) | fee-info.pdf | 30472 | no | 2 | | | | | |
| | | 9709628600ccc0efa78922765696f8b7e151 a321 | | | | | | | |
| Warnings: | | | | | | | | | |
| Information: | | | | | | | | | |
| | Total Files Size (in bytes): 1753498 | | | | | | | | |

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.